



CO7C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<i>In re</i> U.S. Patent No. 6,773,695 <u>B2</u>	)	Serial No. 09/804,464
Thomas M. KÜNDIG <i>et al.</i>	)	Filed: March 13, 2001
Issue Date: August 10, 2004	)	Attorney Docket No. 005184.00002

For: MODULATION OF ALLERGIC RESPONSE

**REQUEST FOR CERTIFICATE OF CORRECTION**

U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street, South  
Customer Window, Mail Stop Certificate of Correction Branch  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

Please issue a Certificate of Correction in the above-identified patent. Two (2) copies of PTO Form 1050 are appended. The complete Certificate of Correction involves one page and adds as Assignee the University of Zürich. A copy of the Notice of Recordation and Assignment to the University is attached.

Please charge any fee required to our Deposit Account No. 19-0733.

Respectfully submitted,  
BANNER & WITCOFF, LTD,

Dated: December 3, 2004  
1001 G Street, N.W. (11th Fl.)  
Washington, D.C. 20001  
(202) 824-3000

By: *Lisa M. Hemmendinger*  
Lisa M. Hemmendinger  
Registration No. 42,653

Certificate  
DEC 08 2004  
of Correction

13 DEC 2004

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO.: 6,773,695 *B2*  
DATED: August 10, 2004  
INVENTOR(S): Thomas M. KÜNDIG *et al*

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Cover Page, Assignee section (73):  
Please insert --University of Zürich, Zürich, Switzerland--

Mailing Address of Sender:

Banner & Witcoff, Ltd.  
11th Floor  
1001 G Street, N.W.  
Washington, DC 20001-4597

FORM PTO 1050 (Rev.2-93)

U.S. PAT. NO 6,773,695

No. of add'l copies  
@ \$0.50 per page

□

13 DEC 2004

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO.: 6,773,695 **B2**  
DATED: August 10, 2004  
INVENTOR(S): Thomas M. KÜNDIG *et al*

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Cover Page, Assignee section (73):  
Please insert --University of Zürich, Zürich, Switzerland--

Mailing Address of Sender:

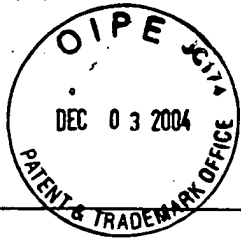
Banner & Witcoff, Ltd.  
11th Floor  
1001 G Street, N.W.  
Washington, DC 20001-4597

FORM PTO 1050 (Rev.2-93)

U.S. PAT. NO 6,773,695

No. of add'l copies  
@ \$0.50 per page

13 DEC 2004



DHH/hmh

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 03, 2004

PTAS



\*102747285A\*

BANNER & WITCOFF, LTD.  
LISA M. HEMMENDINGER  
1001 G STREET, NW  
ELEVENTH FLOOR  
WASHINGTON, DC 20001-4597

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/13/2004

REEL/FRAME: 015323/0226  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KUNDIG, THOMAS M.

DOC DATE: 04/07/2004

ASSIGNEE:

UNIVERSITY OF ZURICH  
RAEMISTRASSE 71  
ZURICH, SWITZERLAND CH-8006

SERIAL NUMBER: 09804464

FILING DATE: 03/13/2001

PATENT NUMBER: 6773695

ISSUE DATE: 08/10/2004

TITLE: MODULATION OF ALLERGIC RESPONSE

005184.00002

NOV 10 2004

BANNER  
Rec'd notice of  
Record.

015323/0226 PAGE 2

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

005184.00002

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)

**RECORDATION**

**PATI**

05-18-2004



102747285

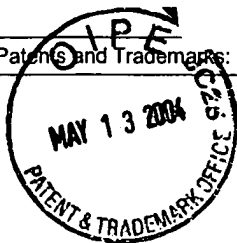
DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Thomas M. Kündig

5/13/04



2. Name and address of receiving party(ies)

Name: University of Zurich

Internal Address:

Additional name of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Street Address: Raemistrasse 71

City: Zurich State: Switzerland Zip: CH-8006

Execution Date: April 7, 2004

Additional Name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/804,464

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Lisa M. Hemmendinger

Internal Address: Banner & Witcoff, Ltd.

Eleventh Floor

Street Address: 1001 G Street, NW

City: Washington State: DC Zip: 20001-4597

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) . . . . . \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

19-0733

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa M. Hemmendinger, Reg. No. 42,653  
Name of Person Signing

*Lisa M. Hemmendinger*  
Signature

May 12, 2004  
Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment, Recordation Services, Director of the US Patent and Trademark Office,  
PO Box 1450, Alexandria, VA 22313-1450

05/17/2004 EQUIPER 09000068 190733 0980464 40.00 DM 01 FC:8921

ASSIGNMENT

WHEREAS, I, Thomas M. Kündig, citizen of the United States and Switzerland, residing at Michelstraße 13, 8049 Zurich, Switzerland, have invented certain new and useful improvements in "Modulation of Allergic Response" for which an application for Letters Patent of the United States was filed on March 13, 2001, and accorded Serial No. 09/804,464; and

WHEREAS, University of Zurich, having a place of business at Raemistrasse 71, CH-8006 Zurich, Switzerland, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration, I, Thomas M. Kündig, by these presents do sell, assign, and transfer unto the University of Zurich, its successors, legal representatives and assigns, my full right to the said invention as described in said application, and my entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee;

AND I HEREBY warrant and covenant that I have full right to convey my entire interest herein assigned and that I have not executed and will not execute any instrument or assignment in conflict herewith;

AND I HEREBY agree to communicate to said assignee or its representatives any facts known to me respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Date: 7-4-04

Inventor: T. Kündig  
Thomas M. Kündig